

Amluckie Investment Company Limited

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Phone : 91-33-4002 2880, Fax :91-33-2237 9053

CIN: L15491WB1876PLC000341

4th June, 2025

To,
The Secretary,
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
Kolkata – 700 001
Scrip Code : 11203

Dear Sir/Ma'am,


Sub: Submission of Annual Secretarial Compliance Report for the financial year ended 31st March, 2025.

Ref: Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 24A of SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIRICFDICMDI//27 /2019 dated February 8, 2019. Please find attached herewith the Annual Secretarial Compliance Report issued by Ms. Ankita Goenka (FCS: 10572, COP: 14204), Practicing Company Secretary, for the Financial Year ended 31st March, 2025.

Kindly take the aforesaid information on record and oblige.

Yours faithfully,
For **Amluckie Investment Co. Ltd**



Surendra Kumar Jain
(Managing Director)
DIN: 00166852

Secretarial Compliance Report of [AMLUCKIE INVESTMENT COMPANY LIMITED] for the financial year ended 31st March, 2025

We have examined:

- (a) all the documents and records made available to us and explanation provided by **AMLUCKIE INVESTMENT COMPANY LIMITED** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2025** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (I) Securities and Exchange Board of India (Depository and Participants) Regulations, 2018; circulars/ guidelines issued thereunder;

We hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
1.	<p>Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.</p>	YES	The Company is required to follow more stringently.
2.	<p>Adoption and timely updation of the Policies: All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI</p>	YES	As per the written representation from the Company, it has followed the same.
3.	<p>Maintenance and disclosures on Website: The Listed entity is maintaining a functional website Timely dissemination of the documents/ information under a separate section on the website Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website</p>	YES	<p>The Company has the website however it require updation.. As per the written representation from the Company, it has followed the same.</p>
4.	<p>Disqualification of Director: None of the Director(s) of the Company are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.</p>	yes	<p>As per the MCA records and the written representation from the Company none of the directors are disqualified under Section 164 of Companies Act, 2013 Smt Krishna Banerjee whose DIN status deactivated due to non filing of DIR 3 KYC. Shri Jayanta</p>

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			Barik(DIN: 03567709) and Shri Akshya Kumar Parida (DIN: 01651737)Independent Directors of the Company have not registered themselves with the Databank of Independent Directors as maintained by the Indian Institute of Corporate Affairs in terms of Rule 6 of the Companies (Appointment and Qualifications of Directors) Rules, 2014.
5.	Details related to Subsidiaries of listed entities have been examined w.r.t.: Identification of material subsidiary companies Disclosure requirement of material as well as other subsidiaries	NA	The Company had identified that there were , no material subsidiary of the Company during the review period.

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Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	As per the written representation from the Company, it is preserving.
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	No	The Company is yet to do performance evaluation .
8.	Related Party Transactions: (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes	As per the Auditors report the company has complied with the same.
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	NO	The resignation and appointment of director were not intimated to Stock exchange.
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	no	As per the clarification given by the Company, the Company is yet to file the same.

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11.	Actions taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**).	NA	The company has been suspended from the Exchange due to continuous Non-Compliances done prior to date of its suspension and is required to pay a sum of <u>Rs.94347.25/-</u> for outstanding dues to the exchange.
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Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
12.	Additional Non-compliances, if any:		
	Regulation 76 of SEBI Depositories and Participants Regulations 1996 – Submission of Share Capital Audit Report.	yes	The Company has filed the same , however it has been delayed filed for the quarter ended June 2024.
	Regulation under 27(2) of SEBI Regulations, 2015 Compliance Report on Corporate Governance,	YES	It has been delayed filed for the quarter ended Sept 2024.
	Regulation 31 (1) SEBI LODR – Shareholding Pattern	Yes	It has been delayed filed for the quarter ended Sept 2024,
	Closure of Trading Window		Not separately given
	Reg 29(2)(a),27(2),31b of SEBI under CSE website		Penalty under various regulations were due as per CSE website
	Regulation 7495) of SEBI Depositories and Participants Regulations 1996		It has been delayed filed for the quarter ended July and Sept 2024.

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

M/S Agarwal Sangneria& co. Chartered Accountants (FRN 317224E) as statutory Auditors of the Company in place of M/S Deoki Bijay & Co (Firm Registration No. 313105E) whose term has expired.

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
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1.	Compliances with the following conditions while appointing/re-appointing an auditor		
	<p>i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or</p> <p>ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or</p> <p>iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.</p>	<p>NA</p> <p>NA</p> <p>NA</p>	<p>The auditor has not resigned during the last financial year 2022-2023.</p> <p>The auditor has not resigned during the last financial year 2022-2023.</p> <p>The auditor has not resigned during the last financial year 2022-2023.</p>
2.	Other conditions relating to resignation of statutory auditor		
	<p>i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:</p> <p>a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.</p>	<p>NA</p>	<p>NA</p>

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations /Remarks by PCS*
	<p>b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information / explanation sought and not provided by the management, as applicable.</p> <p>c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.</p>	<p>NA</p> <p>NA</p> <p>NA</p>	<p>The auditor has not resigned during the last financial year 2022-2023.</p> <p>The auditor has not resigned during the last financial year 2022-2023.</p> <p>As per the auditors report the auditor no such disclaimer mentioned.</p>
3.	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/ CFD/CMD1/114/2019 dated 18 th October, 2019.	NA	The auditor has not resigned during the last financial year 2022-2023.

*Observations /Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'

(a) (**) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	The Listed entity is maintaining a functional website. Timely dissemination of the documents/ information under a separate section on the website. Web-links provided in annual corporate governance reports.	Regulation 27(2)	The website of the company was not working at the time of conducting audit.	Na	Na	Na	NA	The website of the company is not updated.	As per the written representation from the Company, it is taking required steps to update	--
2.	Non-submission of the financial results within the period prescribed under this regulation for the quarter ended June 2024)	Regulation 33	Late submission	Na	Na	Na	Na	The company has delayed filed the financial results	Board Meeting scheduled on 12.08.2024, because of search occurred of one of the	--

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3.	SEBI(LODR) Regulations,2015	47	Non submission of Newspaper Publications						authority at our premises Again it was submitted on 6/11/24 however BM concluded on 05/11/24	
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(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Takenby	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Maintenance and disclosures on Website: The Listed entity is maintaining a functional website Timely dissemination of the documents/ information under a separate section on the website	Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/ section of the website	The Company has the website however it require updation ..	NA	NA.	NA	NA	The Company has the website however it require updation	The company is in process there is a technical glitch.	As per the written representation from the Company ,it has followed the same

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<p>Web-links provided in annual corporate governance reports.</p> <p>Regulation 17(1) of SEBI(Listing Obligations and Disclosure Requirements)Regulations,2015,Requirement of one third /half of the board of Directors of the listed entity shall consist of Independent directors.</p> <p>Regulation 17(4) of SEBI(Listing Obligations and Disclosure Requirements)Regulations,2015,the board of Directors of the listed company shall satisfy itself that the plans are in place for orderly succession for appointment to the board of directors and senior management.</p>									
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Assumptions & Limitation of scope and Review:

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- 1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.**
- 2. Our responsibility is to certify based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.**
- 3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.**
- 4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.**

Place:KOLKATA

Date;04/06/2025

Signature:

ANKITA GOENKA
Digitally signed by ANKITA GOENKA
Date: 2025.06.04 16:26:09 +05'30'
ANKITA GOENKA
Practicing Company Secretary
FCSNo.:F10572
CP No.:14204
UDIN : F010572G000543544
PR No : 2133/2022 DATED 20/05/2022